

D. Johnson
#2 5-20-99
IDS/Statement
PATENT
Attorney Docket No. 1001/028C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HUNNICUTT ET AL.

Serial No. Not Assigned
(continuation of 08/689,838)

Group Art Unit: 2757

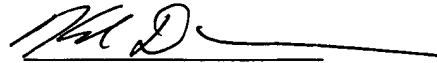
Examiner: E. RAMIREZ

Filed: January 4, 1999

For: ACCESS CHECK SYSTEM
UTILIZING CACHED ACCESS
PERMISSIONS

CERTIFICATE OF MAILING UNDER RULE 10

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 C.F.R. 1.10 with Express Mail No. EL150816925US on the date shown and addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on January 4, 1999.


(signed)

JCS11 U.S. PTO
09/224918
01/04/99

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

In re HUNNICUTT ET AL.

Serial No. Not Assigned (continuation of 08/689,838)

The Information Disclosure Statement is being filed:

- ☒ (a) within three months of the filing date of the patent application, (b) within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application, or (c) before the mailing date of a first Office Action on the merits.
- ☐ **after** (a), (b), or (c) above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes:
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below).
- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), a Petition requesting consideration of the Information Disclosure Statement (see "Petition" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" below).

Copies Of The References

- ☐ Copies of certain references listed on the enclosed Form PTO-1449 are enclosed herewith. Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 C.F.R. § 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.
- ☒ All references listed on the enclosed Form PTO-1449 were previously identified in the parent application) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 C.F.R. § 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 U.S.C. § 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.08/689,838	8/14/96		X	
2.				
3.				

In re HUNNICUTT ET AL.

Serial No. Not Assigned (continuation of 08/689,838)

Other Applications

☒ The Examiner's attention is directed to the following U.S. patent application(s):

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.08/689,838 (Parent of this continuation application)	8/14/96		X	
2. 0 /				
3. 0 /				

Certification

- ☐ The **undersigned attorney** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned attorney** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Petition

- ☐ The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.

Fees

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.
- ☐ The **Petition Fee of \$130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.

Method Of Payment Of Fees

- ☐ Attached is a check in the amount of \$ 240.00.
- ☐ Charge Deposit Account No. 04-1697 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

In re HUNNICUTT ET AL.

Serial No. Not Assigned (continuation of 08/689,838)

Authorization To Charge Additional Fees

- ☒ If any additional fees are owed in connection with this communication, please charge
Deposit Account No. 04-1697.

Instructions As To Overpayment

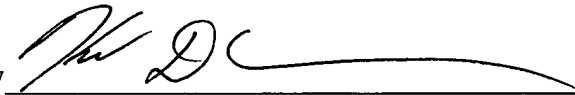
- ☒ Credit Account No. 04-1697.
☐ Refund

Respectfully submitted,

DUFT, GRAZIANO & FOREST, P.C.

Date: January 4, 1999

By



Kirk D. Williams, Reg. 42,229
One of the Attorneys for Applicant(s)
1790 30th Street, Suite 140
Boulder, Colorado 80301-1018
(303) 449-9497 x16 (telephone)
(303) 449-0814 (facsimile)

FORM PTO-1449
MODIFIEDU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
1001/028CSERIAL NO.
UNKNOWN
(CONTINUATION OF
08/689,838)INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
HUNNICUTT ET AL.

(Use several sheets if necessary)

FILING DATE
1/4/99GROUP
2757

JCS 11 U.S. PTO
 09/22/98
 01/04/99

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,560,008	9/24/96	JOHNSON ET AL.	395	650	
	AB	5,335,346	8/2/94	FABBIO	395	600	
	AC	5,577,209	11/19/96	BOYLE ET AL.	395	200.06	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
